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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/656,672	09/05/2003	Marlon D. Cowart	6789.US.03	1721

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EXAMINER

BERNHARDT, EMILY B

ART UNIT	PAPER NUMBER
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1624

DATE MAILED: 10/04/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

## Office Action Summary

**Application No.**

10/656,672

**Applicant(s)**

COWART ET AL.

**Examiner**

Emily Bernhardt

**Art Unit**

1624

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☐ Responsive to communication(s) filed on \_\_\_\_.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 1-113 is/are pending in the application.
- 4a) Of the above claim(s) 1-89 and 103-111 is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 90-102 and 112-113 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☒ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some \* c) ☐ None of:
- ☐ Certified copies of the priority documents have been received.
  - ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_.
  - ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |                                                                                                                                                            |                                                                                         |
|------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                                                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. ____. |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                                                                       | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>3/17/04 &amp; 5/12/05</u> . | 6) <input type="checkbox"/> Other: ____.                                                |

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Restriction to one of the following inventions is required under 35 U.S.C.

121:

- I. Claims 1-25,35-40,59-70, drawn to method for treating sexual dysfunction (SD) where  $Z=N$ , classified in class 414, subclasses 252.19 and others as determined by the nature of the "A" ring.
- II. Claims 1,26-31,35,36,59,60 and 65-66, drawn to a method for treating SD where  $Z=CH$ , classified in class 514, subclass 322,etc.
- III. Claims 1,32-36,59,60 and 65-66, drawn to method for treating SD where  $Z=C$  (double bond is present), classified in class 514, subclass 339,etc.
- IV. Claims 41-46, drawn to method for treating SD employing compounds of I-III and PDE V inhibitors, classified in class 514, subclasses various as determined by the exact nature of active ingredients employed.
- V. Claims 47-52, drawn to method for treating SD employing compounds of I-III and adrenergic agents , classified in class 514, subclasses various as determined by the exact nature of active ingredients employed.

- VI. Claims 53-58, drawn to a method for treating SD employing compounds of I-III and dopamine agonists, classified in class 514, subclasses various as determined by the exact nature of active ingredients employed.
- VII. Claims 71-89, drawn to additional uses employing compounds where  $Z=N$ , classified in class 514, subclass 252.19, etc.
- VIII. Claims 71, 72, 78, 79, 84 and 85, drawn to additional uses employing compounds where  $Z=CH$ , classified in class 514, subclass 322, etc.
- IX. Claims 71, 72, 78, 79, 84 and 85, drawn to additional uses employing compounds where  $Z=C$ , classified in class 514, subclass 339, etc.
- X. Claims 90-102 and 112-113, drawn to compounds where  $Z=N$ , classified in class 544, subclasses 295, 364, 370.
- XI. Claims 103-109, drawn to compounds where  $Z=CH$ , classified in class 546, subclass 199, etc.
- XII. Claims 103 and 110, drawn to compounds where  $Z=C$ , classified in class 546, subclass 273.4, etc.

If one of groups IV-VI is elected, applicants must pick a compound group (i.e. one of I-III) and a single species from this group and a single species as the co-ingredient.

If one of Groups VII-IX is elected applicants must pick a single use for examination.

The inventions are distinct, each from the other because of the following reasons: Compounds within groups I-XII relate to compounds of considerable structural dissimilarity in view of the varying cores based on Z as well as variable "A" which permit a wide of variety hetero ring systems. Thus they are separately classified based at the very least on species recited in various claims. Each can support a patent as the compounds of each group are capable of being utilized alone not in combination with other members listed in the Markush group. Groups I-IX are not commensurate with compound groups X-XII as the choice of A ring systems is narrower in the latter set and the search for one set of groups is not required for the remaining set as set forth above. Thus different issues of patentability may arise. Where more than one use exists restriction is also proper and thus groups VII-IX which embraces many additional uses is distinct from that covered in I-III and may

raise art issues for the broader scope of compounds covered than for compounds in X-XII.

Additionally, compounds employed in I-III vs. IV-VI may be old or obvious when separately employed but may be patentable due to superior, or synergistic properties not present for the individual components in I-III. Within groups IV-VI there is more than one invention as the claims embrace multiple combinations which require independent searches and which are not art-recognized equivalents in the art.

During a telephone conversation with Ms. Ferrari-Dileo on 9/22/05 a provisional election was made with right of traverse to prosecute the invention of X, claims 90-102 and 112-113. Affirmation of this election must be made by applicant in replying to this Office action. Claims 1-89 and 103-111 are withdrawn from further consideration by the examiner, 37 CFR 1.142(b), as being drawn to a non-elected invention.

Applicant is reminded that upon the cancellation of claims to a non-elected invention, the inventorship must be amended in compliance with 37 CFR 1.48(b) if one or more of the currently named inventors is no longer an inventor of at least one claim remaining in the application. Any amendment of inventorship must be

accompanied by a request under 37 CFR 1.48(b) and by the fee required under 37 CFR 1.17(i).

The disclosure is objected to because of the following informalities: In the parent history on p.1 of the specification several provisional cases are identified for 119(e) benefit. However only 60/408,784 (which appears in the Bib Data Sheet) was filed within a year of applicants' nonprovisional filing date. The remaining provisional applications were filed outside the 1 year period and so cannot be relied for domestic priority and thus need to be deleted. See MPEP 201.04(b), Rev.2 May 2004.

Appropriate correction is required.

Claims 90-92,94-96,98,100-101 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

1. Nature of prodrugs intended is also not known since specification is silent as to what types are suitable . A prodrug is chosen based on some undesirable property present in the parent compound and once the type of improvement is identified there is testing to determine the prodrug's efficacy and ability to regenerate the parent compound. It is not the norm that one can predict with any degree of accuracy a particular prodrug form of an active compound will be more soluble,

more easily handled in formulations or more bioavailable without actual testing in vivo . Thus the design of prodrugs is far from trivial and is dependent on the undesirable properties of the active compound(s) which will vary from drug to drug. Thus in the absence of any guidelines (none is seen in the specification ) as to what type of prodrugs are suitable for instant compounds and at which locations (COOH,OH,amino groups, acyl groups) it cannot be readily determined what is and what is not within the instant scope.

Claim 99 is objected to under 37 CFR 1.75(c), as being of improper dependent form for failing to further limit the subject matter of a previous claim. Applicant is required to cancel the claim(s), or amend the claim(s) to place the claim(s) in proper dependent form, or rewrite the claim(s) in independent form. The methyl substituent on the pyridine ring is not embraced in claim 94 from which 99 ultimately depends.

Claims 90 and 94 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the enablement requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to enable one skilled in the art to which it pertains, or with which it is most nearly connected, to make and/or use the invention. Specification provides no adequate support how to use **representative** scope of piperazines claimed at R<sub>E</sub> which can carry from a



reading of the specification a huge array of “heterocyclecarbonyl” groups which include mono-, bi- and tricyclic ring systems which in turn are further substituted with many more functional groups as described on p.39-40 as well as other chemical moieties. Compounds which have been made herein have R<sub>E</sub> as H. On p.71 of the specification it is stated that the compounds of the invention are dopamine agonists. However, there is no reasonable assurance as to what other substituents will work as there is ~~no~~ only test data for one compound in several tests and thus no insight into structure-activity trends that need to be evaluated. Note In re Surrey 151 USPQ 724 regarding sufficiency of disclosure for a Markush group where as herein no examples of a diverse nature have been made much less tested showing the requisite activity needed to practice the invention. Also see MPEP 2164.03 for enablement requirements in cases directed to structure-sensitive arts such as the pharmaceutical art. Also note the criteria for enablement as set out in In re Wands cited in MPEP 2164.01(a), August 2000 edition, which includes factors such as:

- 1) Breadth of the claims- the claims cover compounds easily in the millions as pointed out above;
- 2) Level of unpredictability in the art- the invention is pharmaceutical in nature involving activity at one or more dopamine receptors. It is well established

that “the scope of enablement varies inversely with the degree of unpredictability of the factors involved” and physiological activity is generally considered to be unpredictable. See *In re Fisher* 166 USPQ 18;

3) Direction or guidance- compounds actually made are much closer to each other than to remaining scope ;

4) State of the prior art- The compounds are benzimidazolylalkylene piperazine derivatives with varying heteroaryl rings at other nitrogen terminus. While such compounds are known in the prior art having the same activity, they are similar (if not identical) to compounds actually made and tested herein but not to generic scope covered;

5) Working examples- test data has been presented for only one compound and thus no clear evaluation of which functional groups at various positions out of the many claimed might affect potency to a large or small degree.

In view of the above considerations, this rejection is being applied.

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this

subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

Claims 90-102 and 112-113 are rejected under 35 U.S.C. 102(e) as being anticipated by Cowart (US'166). The US patent publication which is commonly assigned has different inventive entities than herein. Cowart describes many species within the instant scope including the bis (L) tartrate salt embraced in claim 113 on p.10 and maleate salt in eg.1. See also on p.8, section [0108], [0114], [0116], p.10 section [0177] and salts on p.45 as well as working examples such as eg.2.


US'166 is applied as of its effective filing date of 6/5/01 against claims 90-102 and 112 since pertinent species are described in earlier parent. For claim 113 the effective filing date is 12/14/01.

US'167 is also made of record since it appears to have a similar disclosure to US'166 but a later effective filing date.

Copending applications serial nos. 10/094265 and 10/236812 (a CIP ) have been recently allowed. The claims are drawn to method of use after a restriction/election was made. 10/017939 which corresponds to US'166 has gone abandoned with no refilings.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Emily Bernhardt whose telephone number is 571-272-0664.

If attempts to reach the examiner by telephone are unsuccessful, the acting supervisor for AU 1624, James O. Wilson can be reached at 571-272-0661. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

  
Emily Bernhardt  
Primary Examiner  
Art Unit 1624